

05/29/01

JCS60 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

862 C2238

First Named Inventor or Application Identifier

SHIGEYUKI UZAWA

Express Mail Label No.

JCS71 U.S. PTO

09/865454

05/29/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

- 1 ☐ Fee Transmittal Form
(Submit an original and a duplicate for fee processing)
- 2 ☐ Applicant claims small entity status
See 37 CFR 1.27
- 3 ☐ Specification *Total Pages*
- 4 ☐ Drawing(s) (35 USC 113) *Total Sheets*
- 5 ☐ Oath or Declaration *Total Pages*
- a ☒ Newly executed (original or copy)
- b ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
- ☐ **DELETION OF INVENTOR(S)**
Signed Statement attached deleting
inventor(s) named in the prior application, see
37 CFR 1.63(d)(2) and 1.33(b)
- 6 ☐ Application Data Sheet See 37 CFR 1.76

ADDRESS TO:

 Commissioner for Patents
 Box Patent Application
 Washington DC 20231

- 7 ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- 8 ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a ☐ Computer Readable Form (CRF)
- b ☐ Specification Sequence Listing on
- i ☐ CD-ROM or CD-R (2 copies) or
- ii ☐ paper
- c ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 9 ☒ Assignment Papers (cover sheet & document(s))
- 10 ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
- 11 ☐ English Translation Document (if applicable)
- 12 ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- 13 ☐ Preliminary Amendment
- 14 ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- 15 ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- 16 ☐ Other _____

17 If a CONTINUING APPLICATION, check appropriate box and supply the requisite information

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____
 Prior application information Examiner _____ Group/Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18 CORRESPONDENCE ADDRESS

05514

☒ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or Correspondence address below

NAME

Address

City

State

Zip Code

Country

Telephone

Fax

| CLAIMS | (1) FOR | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE | (5) CALCULATIONS | | |
|---|--|------------------|------------------|-------------------------------|------------------|--|--|
| | TOTAL CLAIMS (37 CFR 1.16(c)) | 38-20 = | 18 | * \$ 18 00 = | \$ 324 00 | | |
| | INDEPENDENT CLAIMS (37 CFR 1.16(b)) | 10-3 = | 7 | * \$ 80 00 = | \$ 560 00 | | |
| | MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) | | | \$270 00 = | \$ 0 00 | | |
| | | | | BASIC FEE (37 CFR 1.16(a)) | \$ 710 00 | | |
| Total of above Calculations = \$ 1594 00 | | | | | | | |
| Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28) | | | | | | | |
| TOTAL = \$ 1594 00 | | | | | | | |
| | | | | | | | |

19 Small entity status


- a ☐ A small entity statement is enclosed
- b ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired
- c ☐ is no longer claimed

20 ☒ A check in the amount of \$ 1594 00 to cover the filing fee is enclosed

21 ☒ A check in the amount of \$ 40 00 to cover the recordal fee is enclosed

22 The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 06-1205

- a ☒ Fees required under 37 CFR 1.16
- b ☐ Fees required under 37 CFR 1.17
- c ☐ Fees required under 37 CFR 1.18

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED | |
|---|---|
| NAME | Steven E. Warner - Reg No 33,326 |
| SIGNATURE |  |
| DATE | May 29, 2001 |